STOCKTRADE
ISA ADDITIONAL PERMITTED SUBSCRIPTION APPLICATION

This form is to be used if you are applying for Additional Permitted Subscriptions (APS), following the death of your spouse or civil partner, or want to transfer in Additional Permitted Subscriptions from another ISA provider.

If the transfer is from a Stocktrade ISA, please complete Section A.

If the transfer is from another ISA manager please complete Section B.

If you do not already have a Stocktrade ISA, you will need to also complete a separate Application Form. If you require an Application Form, please contact our Customer Services Team on **0131 240 0400** or **support@stocktrade.co.uk** and ask for an ISA application pack.

You should also refer to our Rate Card. Please note that you will incur higher charges for postal dealing than online. If you have any questions, please call our Customer Services Team on **0131 240 0400** or speak to your financial adviser.



This section is for applications for Additional Permitted Subscriptions following the death of a Stocktrade ISA holder.

1 YOUR DETAILS

Title:

Date of Birth: D D M M Y Y Y Y

First Name(s):

Surname:

Permanent Residential Address:

Postcode:

Mother's maiden name (for security purposes):

Account holder additional details

I am not a US person (Please tick)

If you cannot give this declaration, please do not continue with this application. A financial adviser will be able to help you.

Do you have a National Insurance Number? Yes No

If yes, you must enter it here:

ISA regulations require that if you have a National Insurance Number you must provide it.

Account holder contact details

Home Telephone:

Mobile Telephone:

Other Telephone:

Email:

Please provide an email address if you wish to access your Account online.

2 NATIONALITY

You are a national of any country for which you hold a passport (or could, if you applied for one). Failure to provide this information will mean your application will be rejected.

UK nationals

I confirm I am a national of the United Kingdom

My National Insurance Number is:

Dual and other nationals

Please tell us any other country or countries of which you are a national. If you have more than two nationalities we only need to know about the two you most frequently use (including your UK nationality where applicable). We also require your Personal Identifier. You can find this information on the Natural Person Identifier List.

Country	Your Personal Identifier

Please note if multiple ISAs were held by the deceased with Stocktrade, their value will be combined to form one Additional Permitted Subscription allowance.

I wish to subscribe: **£**

I wish to subscribe from my Additional Permitted Subscription allowance in respect of the deceased and wish to make my transfer to a Stocktrade ISA.

- In cash, **or**;
- In investments, where I became the beneficial owner of the Investments held in respect of the deceased's ISA.

Please be aware that once a subscription to this Additional Permitted Subscription allowance has been made, any future subscriptions under this Additional Permitted Subscription allowance MUST be made to Stocktrade and be accompanied by an Additional Permitted Subscription Eligibility Declaration.

3 DETAILS OF THE DECEASED

Title of the deceased:

Date of Birth: D D M M Y Y Y Y

Date of Death: D D M M Y Y Y Y

First Name(s):

Surname:

Date of marriage or civil partnership between the investor and the deceased: D D M M Y Y Y Y

Permanent Residential Address at date of death:

Postcode:

Deceased's existing ISA number(s):

Does the deceased have a National Insurance Number? Yes No

If yes, you must enter it here:

ISA regulations require that if you have a National Insurance Number you must provide it.

Please note if multiple ISAs were held by the deceased with the ISA manager their value will be combined to form one APS allowance.

4 DECLARATION AND SIGNATURE (CONTINUED)

I declare that:

- I am the surviving spouse/civil partner of the deceased
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down)
- the subscription is made under the provisions of regulation 5DDA of the ISA regulations (additional permitted subscription)
- the subscription is being made:
  1. in the case of investment transfers, within 180 days of beneficial ownership passing to to the surviving spouse or civil partner
  2. in the case of cash subscriptions, within 3 years of the date of death or, if later, 180 days of the completion of the administration of the estate
- I am aged 18 years or over
- all subscriptions made, and to be made, belong to me.

I authorise Stocktrade:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- to make on my behalf any claims to relief from tax in respect of ISA investments

I confirm that:

- I agree to the ISA Terms and Conditions
- I declare that this APS application form has been completed to the best of my knowledge and belief
- I accept Stocktrade Data Protection and Privacy Policy which can be found in our Terms and Conditions.

Signature: 

Print Name:

Date: D D M M Y Y Y Y

B

INSTRUCTIONS FOR THE TRANSFERRING ISA MANAGER

This section is for applications for Additional Permitted Subscriptions from another Stocks & Shares ISA provider. This information will be shared with the existing ISA manager.

Please be aware that an APS allowance can only be transferred once and only where no subscriptions have been made under that APS allowance. Once transferred subscriptions may only be made in cash.

1 YOUR DETAILS

Title:

Date of Birth: D D M M Y Y Y Y

First Name(s):

Surname:

Permanent Residential Address:

Postcode:

Mother's maiden name (for security purposes):

Account holder additional details

I am not a US person (Please tick)

If you cannot give this declaration, please do not continue with this application. A financial adviser will be able to help you.

Do you have a National Insurance Number? Yes No

If yes, you must enter it here:

ISA regulations require that if you have a National Insurance Number you must provide it.

Account holder contact details

Home Telephone:

Mobile Telephone:

Other Telephone:

Email:

Please provide an email address if you wish to access your Account online.

2 DETAILS OF THE DECEASED

Title of the deceased:

Date of Birth: D D M M Y Y Y Y

Date of Death: D D M M Y Y Y Y

First Name(s):

Surname:

Date of marriage or civil partnership between the investor and the deceased: D D M M Y Y Y Y

Permanent Residential Address at date of death:

Postcode:

Section 2 continued overleaf >>

2 DETAILS OF THE DECEASED (CONTINUED)

Deceased's existing ISA number(s):

Does the deceased have a National Insurance Number? Yes No

If yes, you must enter it here:

ISA regulations require that if you have a National Insurance Number you must provide it.

Please note if multiple ISAs were held by the deceased with the ISA manager their value will be combined to form one APS allowance.

Name of deceased's ISA manager:

Addess of deceased's ISA manager:

Postcode:

3 DECLARATION AND SIGNATURE

I declare that:

- I am the surviving spouse/civil partner of the deceased
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down)
- I have not subscribed to and will not subscribe to the additional permitted subscription allowance with the existing ISA provider of the deceased in respect of the deceased named on this application
- the subscription is being made within 3 years of the date of death or, if later, 180 days of the completion of the administration of the estate
- I intend to make an additional permitted subscription application to Stocktrade.

I authorise the existing ISA provider of the deceased as specified above to provide Stocktrade with any information, written or non-written, concerning the APS allowance and former ISA in respect of the myself and the deceased and to accept any instruction from them relating to the APS allowance being transferred.

I declare that this APS transfer application form has been completed to the best of my knowledge and belief.

Signature:

Print Name:

Date: D D M M Y Y Y Y

Transfer Acceptance

Stocktrade are willing to accept this Additional Permitted Subscription allowance transfer in line with the investor's instructions above. We confirm that, subject to relevant checks, we are willing to accept an additional permitted subscription application from the investor.

Notes overleaf >>

NOTES

Important information

- Stocktrade only offer a Stocks & Shares ISA
- An Additional Permitted Subscription is in addition to the annual ISA allowance
- Additional Permitted Subscriptions can be transferred to a different ISA manager
- Additional Permitted Subscriptions are only in respect of deaths from the 3 December 2014
- In respect of deaths between 3 December 2014 and 5 April 2015, the time limits will run from 6 April 2015
- The option to make an Additional Permitted Subscription payment via an investment transfer only applies where the surviving spouse inherits the ISA assets and wishes to remain with the deceased's ISA manager. If a surviving spouse has transferred their Additional Permitted Subscription allowance to another ISA manager, only cash subscriptions are permitted with this manager.
- For deaths on or before 5 April 2018 the APS allowance is limited to the value of the deceased's ISA at their Date of Death
- For deaths on or after 6 April 2018 the APS allowance can be either the value of the deceased's ISA at their date of death or the value of the deceased's ISA at date of account closure.
- Must be made within specific time limits (in the case of investment transfers, within 180 days of beneficial ownership passing to the surviving spouse, and in the case of cash subscriptions, within 3 years of the date of death, or if later, 180 days of the completion of the administration of the estate)
- Cash transfers are available whether or not the surviving spouse inherited the deceased's ISA assets
- Cannot be made to a Junior ISA
- Additional Permitted Subscriptions can be made as either a one off lump sum or multiple payments
- We can accept payments via BACS, cheques and by standing order. Please note, contributions made by cheque may be subject to a handling charge, as described in your rate card.

Stocktrade is a trading name of Embark Investment Services Limited, a company incorporated in England and Wales (company number 09955930) with its registered office at 33 Old Broad Street, London, EC2N 1HZ. Embark Investment Services Limited is authorised and regulated by the Financial Conduct Authority (Financial Services Register number 737356).