

STOCKTRADE

ISA TRANSFER AUTHORITY FORM

Account holder name:

Stocktrade Account Number:

Permanent Residential Address:

Postcode:

National Insurance number:

Date of Birth:

| D | D | M | M | Y | Y | Y | Y |

1 EXISTING ISA MANAGER DETAILS

ISA Account number with existing ISA provider:

Name:

Permanent Residential Address:

Postcode:

Telephone:

Email:

2 ISA TRANSFER DETAILS

I apply to transfer my existing ISA(s) to Stocktrade. I request that you furnish them with details of my ISA(s) and authorise you to transfer the securities within my ISA(s) in accordance with instructions given by Stocktrade.

Type of ISA:	Cash ISA	Stocks & Shares ISA
Type of transfer:	Partial	Full
Current tax year Reference number:	If Partial transfer, amount of cash to be transferred: £	
Previous tax year Reference number:		

The transfer is to be made in the form of*: Cash only Cash & shares/units**

* Please note that your existing ISA manager may charge you to transfer. Your existing ISA manager may require that your holdings are sold and the transfer is made in cash. If this is the case there may be a period of time where you are not invested. Should you wish to clarify either of these points, you should contact your existing ISA manager.

** To the existing account manager: If the client has ticked Cash & Shares/Units box and you do not transfer in specie then please transfer the Account in cash.

2 ISA TRANSFER DETAILS (CONTINUED)**Transfer my ISA**

Please transfer the following investments to Stocktrade. Any cheques representing net sale proceeds should be made payable to Embark Investment Services Limited.

Investment Name	ISIN/SEDOL (if known)	Transfer in-specie	Sell and transfer as cash
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

3 ISA INVESTMENT INSTRUCTIONS TO STOCKTRADE

I hereby instruct the Account Manager (Stocktrade) to invest cash received from the transfer as follows:

Amount (£ or %)	Shares/Units to be purchased (inclusive of charges)	Provide SEDOL codes for Unit Trusts where possible

NB: If no investment instructions are given with this transfer form, the cash will be held on deposit pending an investment instruction from the Account Investor.

If you wish to invest in a fund (an OEIC, Unit Trust, ETF or Investment Trust) you must tick this box to confirm that you have read the relevant Key Investor Information Document (KIID)/Key Information Document (KID).

4 DECLARATION AND AUTHORITY


I declare that:

- the declarations made to you in my application for my ISA are still true and apply to the transfer described in this Transfer request
- I have read, understood and consent to the **Order Execution Policy (available to view online)**
- I acknowledge that there may be circumstances in which my requested transfer cannot proceed, in which case I withdraw my request and agree that the assets to be transferred will remain with my current ISA manager
- by completing this transfer request, I instruct you to hold the transferred assets in my ISA Account with you.

I authorise Embark Investment Services Limited (the ISA manager):

1. To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
2. To make on my behalf any claims to relief from tax in respect of ISA investments.
3. On my written request, to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.


You should read carefully the above declaration and authority before you sign this form. If there is anything you do not understand, you should request further information. By signing this form, you confirm both the declaration and authority.


Account holder signature: 

Print Name: _____

Date: _____

| D | D | M | M | Y | Y | Y | Y |

 0131 240 0400

 support@stocktrade.co.uk

 [stocktrade.co.uk](https://www.stocktrade.co.uk)

Stocktrade is a trading name of Embark Investment Services Limited, a company incorporated in England and Wales (company number 09955930). Embark Investment Services Limited is authorised and regulated by the Financial Conduct Authority (Financial Service Register number 737356). Registered office: 100 Cannon Street, London, EC4N 6EU.